

JC962 U.S. PRO
12/07/01

12-17-01 A

Please type a plus sign (+) inside this box → PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.jc872 U.S. PRO
10/016569
12/07/01**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P1379
First Inventor	SHIK-KWANG WU
Title	Solid Phase Method for Synthesis of Conjugates Synthesized...the same
Express Mail Label No.	EV018409745US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 55]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
- a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check No.s 8758 & 8751

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information.

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	24,394 (Insert Customer No. or Attach bar code label here.)		or	<input type="checkbox"/> Correspondence address below
Name	LaRiviere, Grubman & Payne, LLP			
Address	P.O. Box 3140			
City	Monterey	State	California	Zip Code 93942
Country	USA	Telephone	(831) 649-8800	Fax (831) 649-8835
Name (Print/Type)	May Lin DeHaan	Registration No. (Attorney/Agent)	42,472	
Signature	May Lin DeHaan			Date 12/7/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

EV018409745US

PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 599.00)

Complete if Known

Application Number	Filed Herewith
Filing Date	Filed Herewith
First Named Inventor	SHIK-KWANG WU
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	P1379

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	[Redacted]
Deposit Account Name	[Redacted]

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	[Redacted]
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	[Redacted]
139	130	139	130 Non-English specification	[Redacted]
147	2,520	147	2,520 For filing a request for ex parte reexamination	[Redacted]
112	920*	112	920* Requesting publication of SIR prior to Examiner action	[Redacted]
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	[Redacted]
115	110	215	55 Extension for reply within first month	[Redacted]
116	400	216	200 Extension for reply within second month	[Redacted]
117	820	217	460 Extension for reply within third month	[Redacted]
118	1,440	218	720 Extension for reply within fourth month	[Redacted]
128	1,960	228	980 Extension for reply within fifth month	[Redacted]
119	320	219	160 Notice of Appeal	[Redacted]
120	320	220	160 Filing a brief in support of an appeal	[Redacted]
121	280	221	140 Request for oral hearing	[Redacted]
138	1,510	138	1,510 Petition to Institute a public use proceeding	[Redacted]
140	110	240	55 Petition to revive - unavoidable	[Redacted]
141	1,280	241	640 Petition to revive - unintentional	[Redacted]
142	1,280	242	640 Utility issue fee (or reissue)	[Redacted]
143	460	243	230 Design issue fee	[Redacted]
144	620	244	310 Plant issue fee	[Redacted]
122	130	122	130 Petitions to the Commissioner	[Redacted]
123	50	123	50 Processing fee under 37 CFR 1.17(a)	[Redacted]
126	180	126	180 Submission of Information Disclosure Stmt	[Redacted]
581	(40)	581	(40) Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	[Redacted]
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	[Redacted]
179	740	279	370 Request for Continued Examination (RCE)	[Redacted]
169	900	169	900 Request for expedited examination of a design application	[Redacted]
Other fee (specify) _____				[Redacted]

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
101	740	201	370 Utility filing fee	370.00
106	330	206	165 Design filing fee	[Redacted]
107	510	207	255 Plant filing fee	[Redacted]
108	740	208	370 Reissue filing fee	[Redacted]
114	160	214	80 Provisional filing fee	[Redacted]

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	41	-20** =	20	X	9	=	189.00
Independent Claims	1	- 3** =	0	X	42	=	0.00
Multiple Dependent							

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	
103	18	203	9 - Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 189.00)

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	May Lin DeHaan	Registration No. (Attorney/Agent)	42,472	Telephone	831-649-8800
Signature	<i>May Lin DeHaan</i>				
		Date	12/7/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12/07/01
JC962 U.S. PTO

"Express Mail" mailing label number: EV018409745US

Date of Deposit: December 7, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT
Docket No. P1379

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	SHIH-KWANG WU, TING-GUNG CHANG, CHIN-LU TSENG, LI-JUNG CHEN, KAE-SHYANG SHIH	EXAMINER: UNKNOWN
SERIAL NO.:	FILED HEREWITH	ART UNIT: UNKNOWN
FILED:	FILED HEREWITH	
TITLE:	SOLID PHASE METHOD FOR SYNTHESIS PEPTIDE- SPACER-LIPID CONJUGATES, CONJUGATES SYNTHESIZED THEREBY AND TARGETED LIPOSOMES CONTAINING THE SAME	

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced matter, transmitted herewith are the following:

1. Specification (46 pages); Claims (8 pages), and Abstract (1 page);
2. Utility Patent Application Transmittal (1 page);
3. Declaration and Power of Attorney for Patent Application (2 pages);

4. Verified Statement Claiming Small Entity Status (1 page);
5. Recordation Form Cover sheet (1 page);
6. Assignment Agreement (1 page);
7. Fee Transmittal (1 page);
8. Check No.8758 in the amount of \$559.00 for basic application fee; and
9. Post Card in acknowledgment of all transmitted materials.

CLAIMS AS FILED BY A LARGE/SMALL ENTITY

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$370.00
TOTAL CLAIMS	41-20--0	21	x \$9.00	189.00
INDEPENDENT CLAIMS	1-3--0	0	x \$42.00	0.00
ANY MULTIPLE CLAIMS	0		0	
SURCHARGE				0.00
		TOTAL FILING FEE		\$559.00

Also enclosed is check No. 8751 in the amount of \$40.00 for recordation fee.

Please date-stamp the enclosed postcard and return same to the undersigned in acknowledgment of receipt of all transmitted material.

Respectfully submitted,

May Lin DeHaan
Reg. No. 42,472

MLD/sgs
December 7, 2001
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